

# D&B Compliance Verification

Safeguard your business through comprehensive Compliance Checks

Verify  
Business Partners



Strengthen  
Corporate Compliance

dun & bradstreet

GROWING RELATIONSHIPS THROUGH DATA



*Today's business environment is becoming increasingly regulated in a global attempt to enforce ethical business practices. Thus, companies have started to take a closer look at their compliance practices, and are evaluating whether their existing compliance programmes are adequate to keep up with the changing global anti-bribery and anti-corruption legislation. The cost of non-compliance with these regulations - from a legal, financial and reputational perspective - is extremely high.*



# Compliance Environment in India

Both multinational and Indian companies operating in the country, today, are expected to deal with complex regulations, mounting pressure from regulators, enforcement agencies and civil society, thus making compliance risks more daunting than before. In addition to this, businesses are exposed to extra-territorial risk thus compelling them to assess and monitor performance of their global Third Party associates (such as customers, suppliers, partners) on regular basis to mitigate risks, if any.

In India, there are various statutory and regulatory compliances that business entities need to comply with. Some key Regulators / Bodies driving compliance include:

- ❑ Securities and Exchange Board of India (SEBI)
- ❑ Reserve Bank of India (RBI)
- ❑ Stock Exchanges (BSE and NSE)
- ❑ Ministry of Corporate Affairs (MCA)
- ❑ Employees Provident Fund Organization (EPFO)
- ❑ Tax Departments (Income Tax, Sales Tax, Excise, Customs etc)

In addition to the above, foreign MNCs who have Parent Companies in USA, UK and Indian MNCs having offices in these countries are governed by certain additional laws such as:

- ❑ US Foreign Corrupt Practices Act (FCPA)
- ❑ The UK Anti-Bribery Act

*Non Compliance will expose the companies, local and global management and third parties to penalties and legal action.*

# D&B Compliance Verification

Maintaining an effective  
compliance programme



For more than 20 years, Dun & Bradstreet has been working with customers across sectors to help them assess, investigate and monitor third parties for potential risk.

D&B's Compliance Verification Solutions offer a comprehensive suite of services that helps protect a company's equity and reputation. They assess third party business relationships vis-a-vis local as well as global regulatory and anti-corruption laws.

D&B's Compliance Verification Solutions assist companies to identify banned, suspect, or corrupt entities and individuals, strengthen existing regulatory processes, meet regulatory compliance, manage supply and distribution risk and protect their brands by:

- ❑ **Identification and authentication** of data from Dun & Bradstreet's database of 280 mn global businesses
- ❑ **Screening of organizations and principals** by leveraging Dun & Bradstreet's robust systems and coverage to improve efficiency while reducing and/or eliminating false-positives
- ❑ **Providing objective, fact-based guidance** through various Red Flags that help organizations to identify potential adverse events
- ❑ **Demonstration of 'adequate' procedures** to Regulators, ensuring your investigations are conducted against data that is legally obtained and fit-for-purpose, offering superior depth, breadth and accuracy
- ❑ **Uncovering hidden risk** and proactively spotting new risks throughout the value chain by assessing the complete risk in the entire supply chain of a company

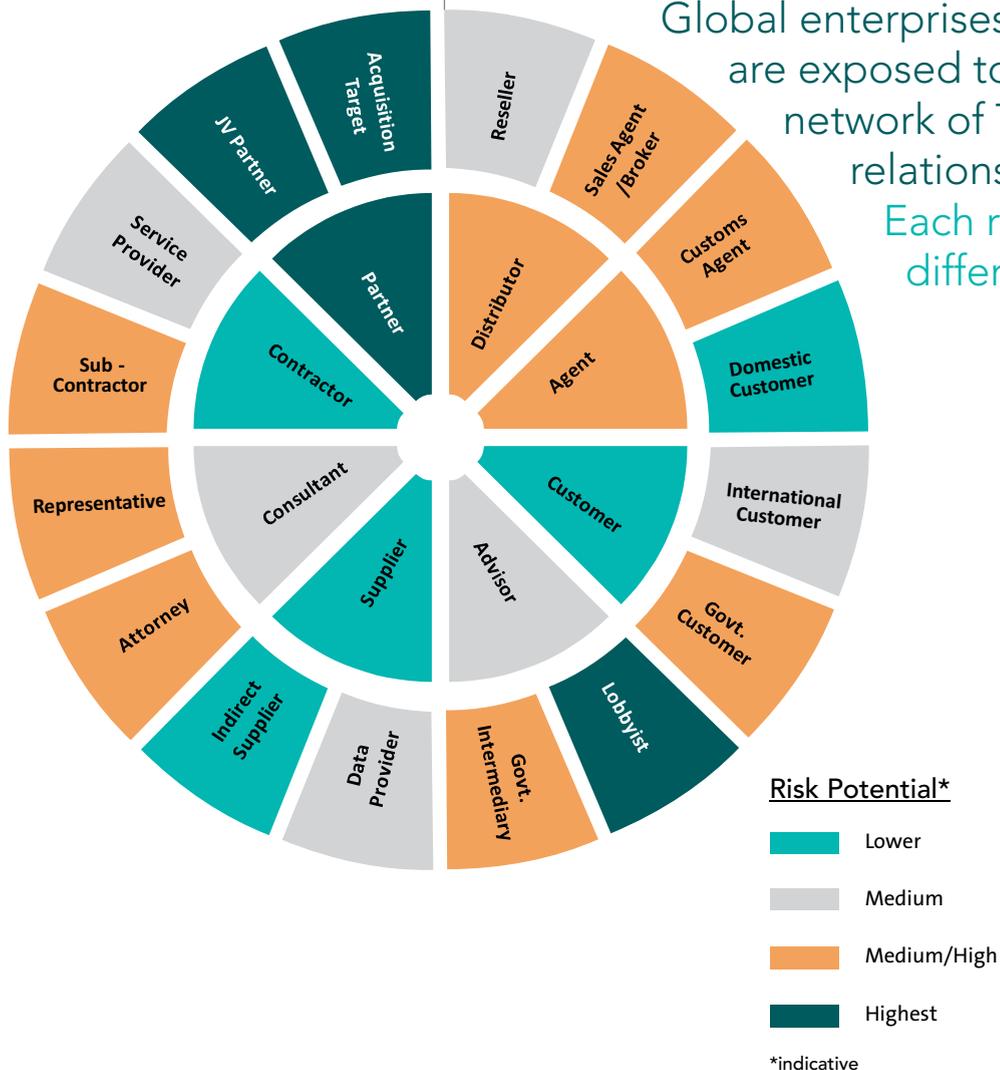
## D&B Compliance Verification Benefits

- ❑ Verify potential / existing business partners
- ❑ Protect your organization from third-party risks
- ❑ Minimize any regulatory and reputational risks
- ❑ Flexible solutions that are designed to support your specific compliance needs
- ❑ Improve security, communication and overall business practices

Dun & Bradstreet  
 helps you systematically  
 manage your corporate  
**Regulatory  
 Compliance**  
 and protect your business

D&B Compliance Verification Solutions are powerful tools that provides advanced screening and monitoring of your third parties. They ensure local as well as global regulatory compliance with a focus on global anti-corruption regulations, which also evaluating the business and compliance risks associated with the Indian market.

Global enterprises  
 are exposed to a complex  
 network of Third-Party  
 relationships  
 Each represents  
 different degrees of risk



# Why Dun & Bradstreet?

Dun & Bradstreet is uniquely positioned to provide you with the trusted data you need to support your Compliance needs, through comprehensive checks and ongoing monitoring of your business partners. D&B Compliance Verification provides companies with thorough, fact based guidance to uncover hidden risks pertaining to a counter party, thereby protecting the company's equity and reputation.

## Risk & Compliance Expertise

Dun & Bradstreet has been working with various MNCs, Indian corporates and financial institutions to help them meet their compliance needs efficiently and meet regulatory requirements. We understand that one size doesn't fit all and we have successfully worked with organizations, big and small to develop robust Compliance Verification Solutions to meet their needs. We offer a range of flexible solutions to meet your unique needs covering legal, statutory, reputational, political and financial risks.

## We understand data and the demands that you have

At Dun & Bradstreet we live and breathe data, turning it into business-ready insight every day. We understand that you want to be confident that you have the best available data in the market and we use our expertise to ensure you get the best:

- Quality
- Coverage
- Reach & Scale
- Consistency
- Timeliness
- Customization

### Legal Risks

- International Law Enforcement Interests
- Criminal Records
- Judgment Filings
- Consumer Disputes
- BIFR Check

### Reputational Risks

- Adverse Media Check - With respect to Bribery/Corruption/Fraud
- Notices from Interpol/CBI - Red Notice/ Yellow Notice
- World Bank List - banned Companies

### Political Risks

- Adverse Media Check - Politically Exposed Personnel (PEPs)
- Database checks to identify political linkage of entities, directors and management teams

### Statutory Risks

- Indian debt recovery tribunal
- Companies having charges against them published by RBI
- Default with respect to Provident Fund, Employee State Insurance Corporation (ESIC) Scheme