

Compliance Intelligence

An intelligent perpetual KYC/KYB monitoring solution for future-ready compliance risk mitigation

Current Landscape

Compliance risks and regulations are growing more complex every year, and with it – growing costs associated with non-compliance.

H1 2024 saw a 31% surge in the value of fines issued compared to H1 2023. Asia-pacific firms saw the biggest rise in penalties imposed, totalling over \$46m - a 266% increase compared with H1 2023. Compliance teams are under pressure to conduct more thorough and frequent due diligence with the same, or reduced resources and less budget.

The Compliance Challenge

Conducting thorough due diligence requires numerous time and budget consuming processes, most of which require focus that draws away from direct risk mitigation. The call for heightened due diligence with limited resources and manual processes leaves compliance teams spread thin and companies at risk.

Compliance professionals need a way to continuously monitor compliance risks while reducing the time spent on manual processes, lengthy research, and unnecessary outreach – in order to appropriately direct focus on risk-related situations before disruption occurs.

48%

Forty-eight percent of compliance professionals believe technology to manage AML compliance obligations is insufficient

Introducing D&B Risk Analytics - Compliance Intelligence

D&B Risk Analytics - Compliance Intelligence is a perpetual KYC/KYB monitoring and compliance decision making solution driven by Dun & Bradstreet's AI-powered data and insights – helping compliance leaders to:

- Make faster, more consistent decisions using a policy-led Risk Engine
- Screen entities with a targeted policy-driven focus, alongside tools for quicker adjudication
- Streamline compliance reporting and assessment
- Constantly monitor third-party risk to reduce the heavy lift associated with periodic reviews

Leverage Perpetual KYC Monitoring in D&B Risk Analytics - Compliance Intelligence

Our Perpetual KYC monitoring capability uses automation to deliver the end-to-end periodic KYC review process on a continuous basis based on your risk profile. The outcome is to identify a small subset of the complex cases that require some degree of human intervention. The remainder are cleared (and the risk constantly updated) without the need for 'eyeballs'.

The Benefits

Leveraging Perpetual KYC monitoring via D&B Risk Analytics - Compliance Intelligence helps you to:

- Reduce costs of operation
- Reduce human intervention
- Reduce manual processes
- Mitigate human error or bias
- Quickly identify risk
- Mitigate compliance risk faster

Traditional KYC vs Perpetual KYC Approaches

Traditional KYC approaches call for periodic compliance risk reviews at a routine cadence and capture a snapshot of risk during that period of time. The grim truth is that risk factors change more frequently than this process can keep up with, leaving potential risks between periodic reviews.

Perpetual KYC/KYB monitoring from Dun & Bradstreet allows you to assess the risk of your customers and business partners on an ongoing basis, alerting you in near real-time when risk factors shift – rather than only analysing risk during periodic KYC reviews.

IN THE NEXT
60 Minutes...



6,200

Businesses will change their address



3,000

New businesses will open their doors



467

Businesses will have a suit, lien, or judgment filed against them



259

Companies will experience a CEO or beneficial ownership change



94

Businesses will change their name

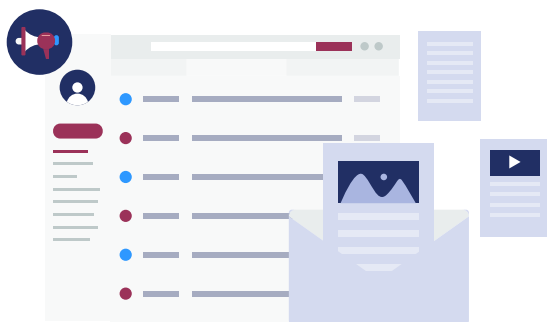
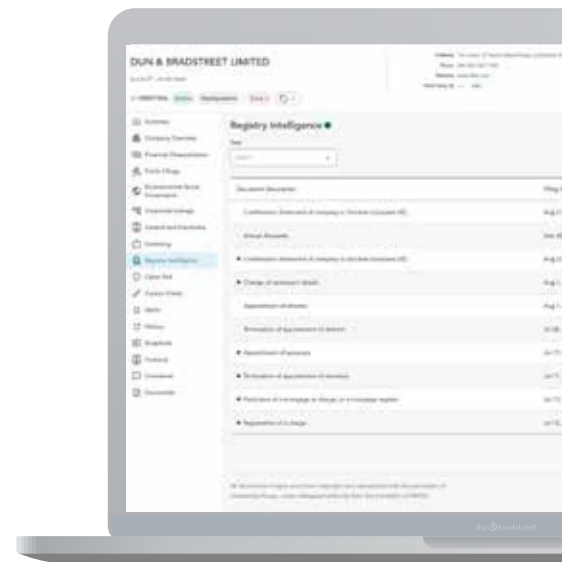
*Insights extracted based on data from the United States.

Verify and Enrich Entity Data

D&B Risk Analytics - Compliance Intelligence empowers you with all the data points you might need to verify, identify and assess potential customers, business partners, and third parties including:

- Core Company Information
- Financial Insights
- Firmographics
- Beneficial Ownership and Linkage
- Official Registry Filings from 100+ Registers*
- Country/Region Risk Insights*
- ESG Rankings*
- Cyber Risk Ratings*

D&B Risk Analytics - Compliance Intelligence is powered by the world's most comprehensive source of commercial business data for informed decision making, The Dun & Bradstreet Data Cloud, helping you to eliminate the need for time consuming research and data validation between disparate sources.



Automate Restricted Party Screening

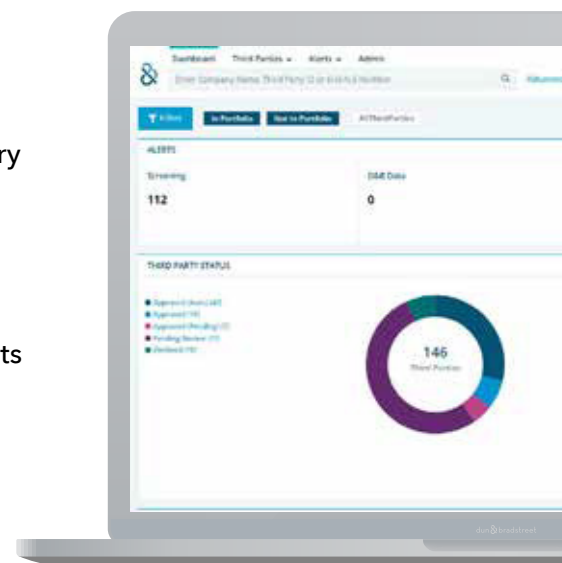
Configure intelligent policy-led screening workflows to automate your processes and save time and operational costs by highlighting risks you are most concerned about. Configure and automate screening workflows based on:

- Who you want to screen
- What you want to screen against (PEPs, Persons of Significant Control, adverse media, sanctions and watchlists)

Simplify Compliance Reporting and Assessments

D&B Risk Analytics - Compliance Intelligence brings you robust reporting capabilities to help you view risk at the portfolio level and meet regulatory requirements including:

- Automated and configurable compliance reports
- PDF download of end-to-end compliance reports for audits
- Interactive dashboard widgets
- Configurable report templates
- Saved report snapshots



*Official registry documents, D&B Country/Region Risk Insights, D&B ESG Rankings, D&B Cyber Risk Ratings are all available as add-on modules within D&B Risk Analytics - Compliance Intelligence at an additional cost.

¹ Source: Deloitte; Anti-Money Laundering Preparedness Survey Report 2020, 2020

Contact Us

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Dun & Bradstreet, a leading global provider of business decisioning data and analytics, enables companies around the world to improve their business performance. Dun & Bradstreet's Data Cloud fuels solutions and delivers insights that empower customers to accelerate revenue, lower cost, mitigate risk and transform their businesses. Since 1841, companies of every size have relied on Dun & Bradstreet to help them manage risk and reveal opportunity.

